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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hideyoshi HORIMAI

Group Art Unit: 2872

Application No.: 09/601,702

Examiner: A. Lavarius

Filed: August 4, 2000

Docket No.: 106357

For: APPARATUS AND METHOD FOR RECORDING AND REPRODUCING OPTICAL INFORMATION (AS AMENDED)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

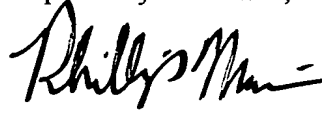
Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution (e.g., Quayle Action), but before payment of the Issue Fee. Attached is our Check No. 149360 in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please credit or debit Deposit Account No. 15-0461 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

☒ a. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.  
37 CFR §1.97(e)(2).

- ☒ 2. The references were cited in an Office Action in related Application No. 10/285,619.

Respectfully submitted,



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Date: December 17, 2003

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(REV. 8-83)US Dept. of Commerce  
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106357APPLICATION NO.  
09/601,702

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT(S)  
Hideyoshi HORIMAIFILING DATE  
August 4, 2000GROUP  
2872

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE    | NAME          | CLASS | SUB<br>CLASS |
|---------------------|-----------------|---------|---------------|-------|--------------|
|                     | 5,627,664       | 05-1997 | Trisnadi      |       |              |
|                     | 5,740,276       | 04-1998 | Tomko et al.  |       |              |
|                     | 3,899,240       | 08-1975 | Gabor         |       |              |
|                     | 5,485,312       | 01-1996 | Horner et al. |       |              |
|                     |                 |         |               |       |              |
|                     |                 |         |               |       |              |
|                     |                 |         |               |       |              |

## FOREIGN PATENT DOCUMENTS

| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB<br>CLASS |
|-----------------|------|---------|-------|--------------|
|                 |      |         |       |              |
|                 |      |         |       |              |
|                 |      |         |       |              |
|                 |      |         |       |              |
|                 |      |         |       |              |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date: December 17, 2003